



Reducible HPMA-co-oligolysine copolymers for nucleic acid delivery

Julie Shi¹, Russell N. Johnson¹, Joan G. Schellinger, Peter M. Carlson, Suzie H. Pun*

Department of Bioengineering, University of Washington, Seattle, WA, United States

ARTICLE INFO

Article history:

Received 19 April 2011

Received in revised form 18 July 2011

Accepted 8 August 2011

Available online 27 August 2011

Keywords:

N-(2-hydroxypropyl)methacrylamide

Oligolysine peptide

RAFT polymerization

Reducible polymer

Plasmid delivery

ABSTRACT

Biodegradability can be incorporated into cationic polymers via use of disulfide linkages that are degraded in the reducing environment of the cell cytosol. In this work, *N*-(2-hydroxypropyl)methacrylamide (HPMA) and methacrylamido-functionalized oligo-L-lysine peptide monomers with either a non-reducible 6-aminohexanoic acid (AHX) linker or a reducible 3-[(2-aminoethyl)dithiol] propionic acid (AEDP) linker were copolymerized via reversible addition–fragmentation chain transfer (RAFT) polymerization. Both of the copolymers and a 1:1 (w/w) mixture of copolymers with reducible and non-reducible peptides were complexed with DNA to form polyplexes. The polyplexes were tested for salt stability, transfection efficiency, and cytotoxicity. The HPMA–oligolysine copolymer containing the reducible AEDP linkers was less efficient at transfection than the non-reducible polymer and was prone to flocculation in saline and serum-containing conditions, but was also not cytotoxic at charge ratios tested. Optimal transfection efficiency and toxicity were attained with mixed formulation of copolymers. Flow cytometry uptake studies indicated that blocking extracellular thiols did not restore transfection efficiency and that the decreased transfection of the reducible polyplex is therefore not primarily caused by extracellular polymer reduction by free thiols. The decrease in transfection efficiency of the reducible polymers could be partially mitigated by the addition of low concentrations of EDTA to prevent metal-catalyzed oxidation of reduced polymers.

© 2011 Elsevier B.V. All rights reserved.

1. Introduction

Biodegradability is an important attribute of polycations used in nucleic acid delivery formulations for *in vivo* applications. Polyplexes (polycation and nucleic acid complexes) formed from higher molecular weight polycations are more stable in the extracellular environment (Tang et al., 2010) while intracellular nucleic acid release typically occurs by competitive displacement and therefore occurs more readily when shorter polycations are used (Schaffer et al., 2000). In addition, polycation cytotoxicity has been shown to be reduced with decreasing polymer molecular weight (de Wolf et al., 2007; Fischer et al., 2003; Hwang and Davis, 2001). To meet these two seemingly opposing material requirements, polycations that undergo triggered intracellular degradation have been employed. The two most commonly used strategies employed in design of degradable polycations are acid-labile bonds, such as hydrazones and esters, and reducible disulfide linkages (Bauhuber et al., 2009; Ganta et al., 2008; Ouyang et al., 2009). The latter approach is particularly attractive because the disulfide bonds are stable in the oxidizing extracellular environment while the

reducing environment of the cell cytoplasm triggers intracellular degradation.

Three major approaches have been used to incorporate reducible bonds in polyplex formulations: (1) crosslinking polyplexes using reactive thiols or disulfide-containing crosslinkers, (2) crosslinking low molecular weight polycations using the same approach, or (3) synthesizing cationic polymers with internal disulfide linkages. Disulfide bonds were first introduced to polyplex formulations by crosslinking preformed polyplexes to increase the stability of polyplexes for *in vivo* applications (McKenzie et al., 2000a,b; Trubetskoy et al., 1999). In these examples, crosslinked polyplexes were shown to be more resistant to DNA release and colloidal aggregation. The second approach has been primarily applied to polyethylenimine (PEI); crosslinking of PEI has been shown to increase transfection efficiency of low molecular weight PEI while providing reduced toxicity compared with high molecular weight, non-degradable PEI in several reports (Breunig et al., 2007; Choi and Lee, 2008; Deng et al., 2009; Gosselin et al., 2001; Kloeckner et al., 2006; Liu et al., 2010; Neu et al., 2006; Peng et al., 2008; Wang et al., 2006). Finally, reducible polymers, such as reducible poly(amidoamines) have been synthesized by using monomers containing an internal disulfide bond (Burke and Pun, 2010; Chen et al., 2009; Dai et al., 2010; Lin et al., 2006; Ou et al., 2008). One major advantage of this approach is better control over final polymer

* Corresponding author. Tel.: +1 206 685 3488.

E-mail address: spun@u.washington.edu (S.H. Pun).

¹ Equally contributing author.

architecture that may improve the reproducibility of polyplex formulations.

We previously synthesized HPMA–oligolysine copolymers that contain a reducible disulfide linker, 3-[(2-aminoethyl)dithio] propionic acid (AEDP), between the HPMA backbone and the oligolysine peptide via free radical polymerization (Burke and Pun, 2010). Additionally, we recently demonstrated that the HPMA–oligolysine copolymers can be synthesized via reversible addition–fragmentation chain transfer (RAFT) polymerization, resulting in narrowly disperse and well-defined polymers, as well as stoichiometric incorporation of peptide monomers. The oligolysine length, oligolysine content and polymer molecular weight for this class of polymers was optimized for polymer's ability to transfect cultured cells (Johnson et al., in press). Polymers composed of oligolysines with ten lysines (K_{10}) incorporated at 20 mol% showed comparable transfection efficiencies to that of polyethylenimine (PEI), but with reduced toxicity.

The goal of this work is to synthesize reducible HPMA–oligolysine polymers via controlled RAFT polymerization and to evaluate transfection efficiency and toxicity of these materials in several cultured cell lines. Because HPMA–oligolysine molecular weight and peptide loading can be well controlled using this approach, the effect of a reducible versus stable architecture can be studied directly by keeping these other factors, known to affect transfection efficiency, constant. Although the backbone of these HPMA–oligolysine polymers is not readily degradable, reduction results in release of oligolysine peptides from the main chain. Since the oligolysine component of the copolymers represents a majority of the mass ratio of the copolymer, complete reduction of disulfide linkers by releasing the oligolysine component from the polymer would result in a significantly diminished polymer molecular weight. Thus, polymers were designed to have molecular weights above the renal filtration threshold to promote polyplex stability during circulation but to degrade into fragments that can be easily excreted after disulfide reduction.

In this work we report the synthesis and evaluation of HPMA–oligolysine copolymers for the delivery of plasmid DNA. Copolymers of HPMA and oligolysine with either a nonreducible or a reducible linker were synthesized via RAFT polymerization. Polyplexes with these copolymers were evaluated for salt stability, and transfection efficiency and cytotoxicity various cell lines. Finally, flow cytometry and transfection studies with 5,5'-dithiobis-(2-nitrobenzoic acid) (DTNB) assess the effect of free extracellular thiols on uptake and transfection efficiency using these copolymers.

2. Materials and methods

2.1. Materials

N-(2-Hydroxypropyl)methacrylate (HPMA) was purchased from Polysciences (Warrington, PA). The initiator VA-044 was purchased from Wako Chemicals USA (Richmond, VA). Chain transfer agent ethyl cyanovaleric trithiocarbonate (ECT) was a generous gift from Dr. Anthony Convertine (University of Washington). Rink amide resin was purchased from Merck Chemical Int. (Darmstadt, Germany). HBTU and Fmoc-protected lysine were purchased from Aapptec (Louisville, KY). *N*-Succinimidyl methacrylate was purchased from TCI America (Portland, OR). Maleimide-functionalized Alexa Fluor 488 was purchased from Invitrogen (Carlsbad, CA). All cell culture reagents were purchased from Cellgro/Mediatech (Fisher Scientific, Pittsburgh, PA). All other materials, including poly(ethylenimine) (PEI, 25,000 g/mol, branched) and poly(L-lysine) (PLL, 12,000–24,000 g/mol), were reagent grade or better and were purchased from Sigma–Aldrich (St. Louis, MO) unless

otherwise stated. Endotoxin-free plasmid pCMV-Luc2 was prepared by using the pGL4.10 vector (Promega, Madison, WI) and inserting the CMV promoter/intron region from the gWiz Luciferase (Aldevron, Madison, WI). The plasmid was isolated and produced with the Qiagen Plasmid Giga Kit (Qiagen, Germany) according to the manufacturer's instructions.

2.2. Synthesis of peptide monomers

N-(9-Fluorenylmethoxycarbonyl)-protected 3-[(2-aminoethyl)dithio] propionic acid (Fmoc-Aedp) was synthesized as previously described, but with some improvements (Burke and Pun, 2010). Briefly, 616 mg (1.83 mmol, 2 eq.) of 9-fluorenylmethyl *N*-succinimidyl carbonate (Fmoc-OSu) was dissolved in 6 mL of dimethoxyethane (DME). An AEDP solution (2 eq. at 90 g/L in aqueous sodium bicarbonate) was then added dropwise to the Fmoc-OSu solution while stirring. The reaction mixture was allowed to proceed for 3 h at room temperature with vigorous stirring in the dark after which the solution was filtered through a 0.2 μ m pore size PVDF filter and solvent removed in vacuo. The desired product was then extracted in chloroform as described previously. Crude material was then dissolved in minimum amount of dichloromethane and reprecipitated in cold hexane to give an off-white solid in quantitative yield.

Oligo-L-lysine (K_{10}) was synthesized on a solid support containing the Rink amide linker (100–200 mesh) using standard Fmoc/tBu chemistry on an automated PS3 peptide synthesizer (Protein Technologies, Phoenix, AZ). Prior to peptide cleavage from the resin, the *N*-terminus of the peptide was deprotected and modified with either Fmoc-protected 6-aminohexanoic acid (AHX) or Fmoc-AEDP. The *N*-terminus was then subsequently deprotected and reacted with *N*-succinimidyl methacrylate to provide a methacrylamido functionality on the peptide. The functionalized peptide monomers are referred to as MaAhx K_{10} and MaAedp K_{10} . Synthesized peptides were cleaved from the resin by treating the solid support with a solution of trifluoroacetic acid (TFA)/dimethoxybenzene (DMB)/triisopropylsilane (TIS) (92.5:5:2.5, v/v/v) for 1.5 h with gentle mixing. Cleaved peptide monomers were then precipitated in cold ether, dissolved in methanol, and re-precipitated in cold ether. The peptide monomers were then frozen, lyophilized, and stored at -20°C until polymer synthesis. If needed, peptide monomers were purified via reversed-phase high-performance liquid chromatography (RP-HPLC) using acetonitrile as the mobile phase and dH_2O (0.1 μ m filtered) as the stationary phase. The peptide monomers were analyzed by RP-HPLC and MALDI-TOF mass spectrometry (MS) and were shown to have greater than 95% purity. The products were confirmed by MALDI-TOF MS. MALDI-TOF MS calculated for MaAhx K_{10} (MH^+), [1479.98]; found, [1480.02]. MALDI-TOF MS calculated for MaAedp K_{10} (MH^+), [1530.09]; found, [1530.09].

2.3. Synthesis of HPMA-co-Ahx K_{10} via free radical polymerization

A copolymer of HPMA-co-Ahx K_{10} was synthesized via free radical polymerization as previously described (Burke and Pun, 2010), with some modifications. Briefly, 20 mol% of MaAhx K_{10} (0.027 mmol, or 40 mg) and 80 mol% of HPMA (0.108 mmol, or 15.5 mg) were dissolved in reaction buffer (6M guanidine hydrochloride, 2 mM EDTA, 0.5 M Tris-base, buffered to pH 8.3 with HCl) to give a final monomer concentration of 85 mg/mL. The weight of the initiator (*I*) 2,2'-azobis[2-(2-imidazolin-2-yl)propane]dihydrochloride (VA-044) used for the polymerization was calculated as 5% of the total mmole of the monomer (to give a theoretical degree of polymerization, or DP, of 190). The reaction mixture was added to an oven-dried 5 mL pear-shaped reaction vessel, purged with N_2 gas for 15 min, and then sealed

and heated to 44 °C for 48 h to generate 27 mg of copolymer after dialysis.

2.4. Synthesis of HPMA-co-AhxK₁₀ and HPMA-co-AedpK₁₀ via RAFT polymerization

Copolymers of HPMA-co-AhxK₁₀ and HPMA-co-AedpK₁₀ were synthesized via reversible-addition fragmentation chain transfer (RAFT) polymerization as previously described (Johnson et al., 2010), using ethyl cyanovaleric trithiocarbonate (ECT, MW 263.4 g/mol) (Convertine et al., 2009) as the chain transfer agent (CTA) and VA-044 as the initiator (I). Briefly, 20 mol% of either MaAhxK₁₀ or MaAedpK₁₀ (0.176 mmol, or 270.0 mg) and 80 mol% of HPMA (0.705 mmol, or 100.98 mg) were dissolved and sonicated in acetate buffer (1 M in dH₂O, pH 5.1) such that the final monomer concentration was 0.7 M. The molar ratio of CTA/I was 10, and the DP used was 190. The reaction mixture was added to a 5 mL reaction vessel in the following order: ECT (100 mg/mL in ethanol), 100% ethanol (10% of the final reaction volume), peptide monomer/HPMA mixture, and VA-044 (10 mg/mL in acetate buffer). The reaction vessels were then sealed with a rubber septum and purged with N₂ gas for 10 min prior to incubation in an oil bath (44 °C) for 24 h. The copolymer solution was then dissolved in water, dialyzed against dH₂O to remove unreacted monomers and buffer salts, lyophilized, and stored at –20 °C. The final yield after dialysis was 58% of the theoretical yield.

2.5. Polymer characterization and degradation studies

Molecular weight analysis of the copolymers was carried out by gel permeation chromatography (GPC) as previously described, using a miniDAWN TREOS light scattering detector (Wyatt, Santa Barbara, CA) and an Optilab rEX refractive index detector (Wyatt). Absolute molecular weight averages (M_n and M_w) and dn/dc values were calculated using ASTRA software (Wyatt). The dn/dc value for each copolymer was 0.133 mL/g. The content of K₁₀ peptide within the HPMA copolymers were determined by amino acid analysis, as previously described (Johnson et al., in press).

Reduction of HPMA–MaAedpK₁₀ copolymers was carried out by dissolving the copolymers at a concentration of 10 mg/mL in acetate buffer (150 mM, pH 4.4) with 1 mM EDTA. Tris(2-carboxyethyl)phosphine (TCEP) was added to the solution to a final concentration of 25 mM. The progress of the reaction was followed by GPC using methods described above.

2.6. Polyplex formulation and characterization

Stock solutions of polymers were prepared at 10 mg/mL in 0.1 × phosphate buffered saline (PBS), and the pH was adjusted to 6.5 by adding 0.1 N HCl. Polymer solutions were used within 2 weeks of preparation. To formulate polyplexes, pCMV-Luc2 plasmid DNA was diluted to 0.1 mg/mL in DNase/RNase-free H₂O and mixed with an equal volume of polymer at desired lysine to DNA phosphate (N/P) ratios. Polyplexes were then allowed to incubate for 10 min at room temperature. For *in vitro* transfections, 20 µL of the polyplex solution (containing 1 µg DNA) was mixed with 180 µL of Opti-MEM medium (Invitrogen). The particle size of the polyplexes was determined by mixing 20 µL of the polyplex solution with either 20 µL 0.2 µm-filtered dH₂O, 2X PBS, or DMEM + 10% FBS. The polyplex solutions were incubated for 15 min at room temperature prior to particle sizing by dynamic light scattering (DLS) (ZetaPALS, Brookhaven Instruments Corp.).

For serum degradation studies, pCMV-Luc2 plasmid DNA was pre-stained with YOYO-1 iodide (Invitrogen), a known bis-intercalating dye, to yield a DNA concentration of 0.1 mg/mL at

a ratio of 5:1, DNA base pairs to dye molecules. The DNA-dye solution was allowed to incubate for 1 h at room temperature. Polyplexes were prepared by adding 10 µL of polymer solution to 10 µL of pDNA-dye solution (containing 1 µg DNA) at N/P of 5. Equal volumes (20 µL) of various fetal bovine serum (FBS) concentrations were added to the polyplex solution to give serum final concentrations of 50%, 25%, and 10%, and the polyplexes were allowed to incubate for 30 min. 20 µL aliquot samples, mixed with 1 µL of loading buffer, were then loaded onto a 0.8% agarose gel containing TAE buffer (40 mM Tris-acetate, 1 mM EDTA) and electrophoresed in the dark at 100 V. pDNA was then visualized using an UV transilluminator (laser-excited fluorescence gel scanner, Kodak, Rochester, NY).

2.7. Cell culture

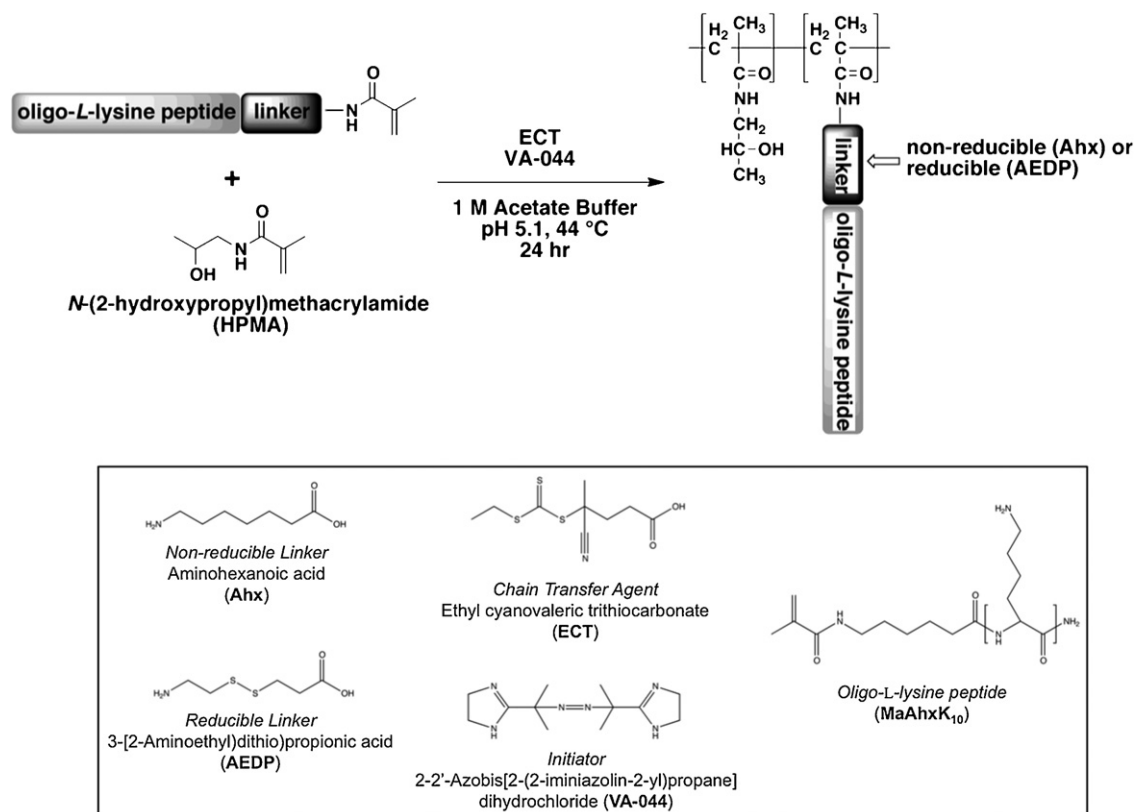
HeLa (human cervical carcinoma), NIH/3T3 (mouse embryonic fibroblast), and CHO-K1 (Chinese hamster ovary) cells were grown in minimum essential medium (MEM), Dulbecco's modified eagle medium (DMEM), and F-12K medium, respectively, supplemented with 10% FBS and 100 IU penicillin, 100 µg/mL streptomycin, and 0.25 µg/mL amphotericin B. Cells were passaged when they reached ~80% confluency.

2.8. In vitro transfection

HeLa, NIH/3T3, and CHO-K1 cells were seeded overnight in 24-well plates at a density of 3×10^4 cells per well (1 mL/well) at 37 °C, 5% CO₂. Polyplexes were formed as described above. After the polyplexes were formed, 20 µL (containing 1 µg DNA) was mixed with 180 µL of Opti-MEM medium (Invitrogen) or complete cell medium (containing 10% FBS) for serum transfections. Seeded cells were washed once with PBS and then treated with 200 µL of polyplexes in Opti-MEM or complete medium, which was added dropwise on top of the cells. After a 4 h incubation at 37 °C, 5% CO₂ in a humidified environment, the cells were washed once again with PBS and incubated in 1 mL of fresh complete medium for an additional 44 h. For studies with DTNB, the cells were pre-incubated with 500 µL DTNB (5 mM in Opti-MEM) for 1 h, washed with PBS, and then incubated with polyplexes in Opti-MEM containing 5 mM DTNB for 4 h. For studies with EDTA, cells were treated with polyplexes in Opti-MEM containing 1 mM EDTA for 4 h; cells were collected, washed with PBS, pelleted, resuspended in complete media, and added back into the well for an additional 44 h. In all experiments cells were harvested and assayed for luciferase expression at 48 h. This was done by washing cells once with PBS, adding of 200 µL reporter lysis buffer (Promega, Madison, WI), and then performing one freeze-thaw cycle to complete the lysis of cells. Lysates were collected and centrifuged at $14,000 \times g$ for 15 min. Luminescence was carried out following the manufacturer's instructions (Promega, Madison, WI). Luciferase activity is reported in relative light units (RLU) normalized by mg protein (RLU/mg), as measured by a microBCA Protein Assay Kit (Pierce).

2.9. Cytotoxicity assay

The cytotoxicity of the polymers was evaluated *in vitro* using the MTS assay. HeLa, NIH/3T3, and CHO-K1 cells were plated overnight in 96-well plates at a density of 3×10^3 cells per well per 0.1 mL. Polymers were prepared in serial dilutions in dH₂O and then diluted 10-fold in Opti-MEM medium (Invitrogen). The cells were rinsed once with PBS and incubated with 40 µL of the polymer solution for 4 h at 37 °C, 5% CO₂. Cells were rinsed once with PBS and the medium was replaced with 100 µL complete growth medium. At 48 h, 20 µL of 3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium (MTS)



Scheme 1. Synthesis of reducible HPMA-co-oligolysine copolymers via reversible-addition fragmentation chain transfer (RAFT) polymerization. Statistical polymers of HPMA and oligolysine were synthesized via RAFT polymerization using ECT as the chain transfer agent and VA-044 as the initiator. A CTA to *I* ratio of 10 and a degree of polymerization (DP) of 190 was used in all polymerizations. A non-reducible (Ahx) or a reducible (AEDP) linker was used in the synthesis of the oligolysine (K₁₀) peptide in order to introduce biodegradability into the polymer. Peptide monomers were functionalized with a methacrylamido group (Ma) for polymerization.

(Promega) was added to each well. Cells were then incubated at 37 °C, 5% CO₂ for 4 h. The absorbance of each well was measured at 490 nm using a microplate reader (TECAN Safire²). IC₅₀ values were computed using a nonlinear fit (four-parameter variable slope) in GraphPad Prism v.5 (San Diego, CA).

2.10. Flow cytometry and microscopy

HeLa and NIH/3T3 cells were seeded overnight in 6-well plates at a density of 2×10^5 cells per well (2 mL/well) at 37 °C, 5% CO₂. Polyplexes were formulated with polymer and plasmid DNA labeled with TOTO-3 (1 dye per 25 base pairs). The polyplexes were then diluted 10-fold in Opti-MEM and the fluorescence of TOTO-3 were measured on a microplate reader (Tecan Safire²). Seeded cells were washed once with PBS and treated with 1 mL of DTNB (5 mM in Opti-MEM) or Opti-MEM (for non-treated samples) for 1 h at 37 °C, 5% CO₂. Polyplexes were formulated with polymer and plasmid DNA labeled with TOTO-3 (1 dye per 25 base pairs). The cells were then incubated with 800 µL of Opti-MEM containing polyplexes (with 5 mM DTNB for treated samples) for 30 min at 37 °C, 5% CO₂. The cells were washed once again with PBS and treated with CellScrub (Genlantis) for 15 min at room temperature to remove extracellularly bound polyplexes. Cells were then trypsinized, pelleted at 1000 × *g* for 5 min. Cell were pelleted again, washed with complete medium, and resuspended in 0.3 mL complete medium for flow cytometry. Flow cytometry analysis was completed at the Cell Analysis Facility (BD FACS Canto, University of Washington). TOTO-3 was excited at 633 nm and the emission was detected using a 660/20-nm band-pass filter. A total of 10,000 events were collected per sample.

2.11. Statistical analysis

The data are represented as the mean and standard deviations. Differences were analyzed using the two-tailed Student's *t*-test and a *p*-value of less than or equal to 0.05 was taken as significant.

3. Results

3.1. Synthesis of HPMA-co-AhxK₁₀ and HPMA-co-AedpK₁₀

Synthesis of HPMA-co-MaAhxK₁₀ and HPMA-co-MaAedpK₁₀ copolymers was carried out by RAFT copolymerization of HPMA with oligolysine comonomers that contained either an AEDP or AHX linker. The principle difference between the two linkers is that AEDP contained an internal disulfide bond that can be degraded in reducing environments such as the cell cytosol. Copolymerization was carried out as illustrated in Scheme 1. The resulting polymers displayed properties that were close to targeted values (Table 1). The number molecular weight average, *M_n*, of HPMA-co-MaAedpK₁₀ was 80.2 kDa, which corresponded well to the targeted molecular weight of 79.5 kDa. Polydispersity of the copolymer was 1.11. Amino acid analysis of the HPMA-oligolysine copolymers demonstrated a near quantitative yield of the oligo-L-lysine peptide monomer in resulting copolymers (final mole %: 18.4). As a result, the concentration of lysine in each of the final copolymers. In both copolymers the targeted degree of polymerization (DP) was 190; as a consequence, the number of monomers per copolymer chain was similar and the lysine weight ratio was identical despite some difference in the final *M_n* of the two copolymers.

Table 1

Properties of HPMA–oligolysine copolymers.

Polymer	Targeted M_n (kDa)	Determined M_n (kDa) ^a	M_w/M_n ^a	mol% K ₁₀ monomer ^b	mmol amine/g polymer ^b	IC ₅₀ (μg lys/mL) ^c	IC ₅₀ (μg lys/mL) ^d	IC ₅₀ (μg lys/mL) ^e
Polymer synthesized by free radical polymerization								
HPMA–AhxK ₁₀	78.0	168.4	2.24	12.0	0.00713	0.114 [*]	0.128 [*]	0.0901 ^{**}
Polymers synthesized by RAFT polymerization								
HPMA–AhxK ₁₀	78.0	77.6	1.18	19.6	4.83	9.67 [*]	8.23 [*]	12.16 [*]
HPMA–AedpK ₁₀	79.5	80.2	1.11	19.4	4.79	13.03 [*]	14.10 [*]	20.18 [*]
1:1 (w/w) mixture	–	–	–	–	–	12.24 [*]	10.54 [*]	12.25 [*]
bPEI (25 kDa)	–	–	–	–	23.22	1.85 ^{**}	1.57 [*]	1.45 ^{**}
PLL (12–24 kDa)	–	–	–	–	4.51	0.70 ^{**}	0.72 [*]	0.75 ^{**}

^a Values determined by GPC coupled with laser light scattering, and dRI detection.^b Mol% of oligo-L-lysine and mmol amine/g polymer determined by amino acid analysis.^c IC₅₀ values determined using NIH/3T3 cells.^d IC₅₀ values determined using CHO-K1 cells.^e IC₅₀ values determined using HeLa cells.^{*} Values were adjusted to lysine equivalent.^{**} Values were adjusted to amine equivalent and IC₅₀ values are in μg amine/mL.

To compare polymers synthesized via RAFT and free radical polymerization, a copolymer of HPMA-*co*-MaAhxK₁₀ was also synthesized via free radical polymerization (Table 1). The parameters used in the synthesis were kept as similarly as possible to the synthesis of the RAFT polymers to generate a comparable material. However, despite a targeted DP of 190, the polymer synthesized via free radical polymerization had a very large M_n and also resulted in lower than expected incorporation of oligo-L-lysine peptide.

3.2. Polymer degradation with TCEP

The HPMA-*co*-MaAedpK₁₀ copolymer was treated with tris(2-carboxyethyl)phosphine (TCEP) to reduce the disulfide bond contained in the MaAedpK₁₀ component of the copolymers. Reduction was assessed by size exclusion chromatography. Degradation was done in the presence of EDTA (Fig. 1a) or without EDTA (Fig. 1b),

a chelator that sequesters trace metals capable of oxidizing free sulfhydryls to disulfide bonds. The mass fraction of the degraded products was calculated by determining the area under the corresponding peaks. Fig. 1a indicated that reduction of the disulfide bonds proceeded quickly. At 15 min, the copolymer was 80.2% degraded and by 2 h, the polymer was 99.3% degraded. When EDTA was present, treatment with TCEP resulted in the generation of degraded copolymer and free oligolysine. However, when EDTA was not present, a high molecular weight fraction was also generated, the degraded copolymer appeared less uniform, and at least a portion of oligolysine appeared to dimerize.

3.3. Polyplex stability

Dynamic light scattering was used to measure the effective hydrodynamic diameter of HPMA–AhxK₁₀ and HPMA–AedpK₁₀

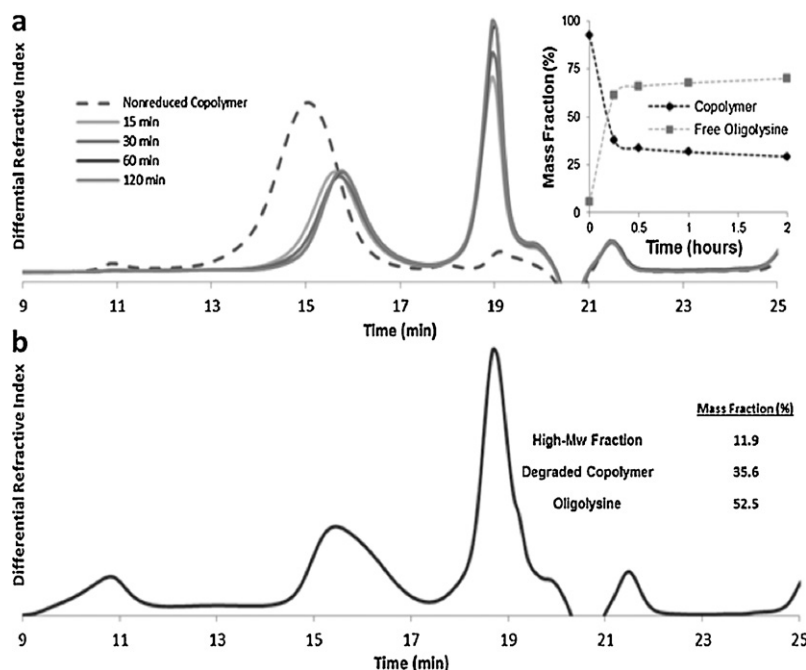


Fig. 1. Degradation of HPMA–AedpK₁₀ with TCEP. HPMA–AedpK₁₀ was degraded over time in the presence of 25 mM TCEP. Reduced copolymers were applied to a GPC column to track changes in molecular weight at degradation of the copolymer occurred. (a) Reduction was done in the presence of EDTA. The insert indicates the mass fraction of degraded copolymer and free oligolysine. Degraded copolymer eluted between 14 and 17 min, while free oligolysine eluted from 18 to 20 min. The insert shows the mass fraction of peptide and copolymer. Reduction done without EDTA (b) produced three eluted peaks in SEC. The first is a high molecular weight fraction (9–12 min), the second peak is degraded copolymer (14–17 min), and the third is oligolysine (18–20 min).

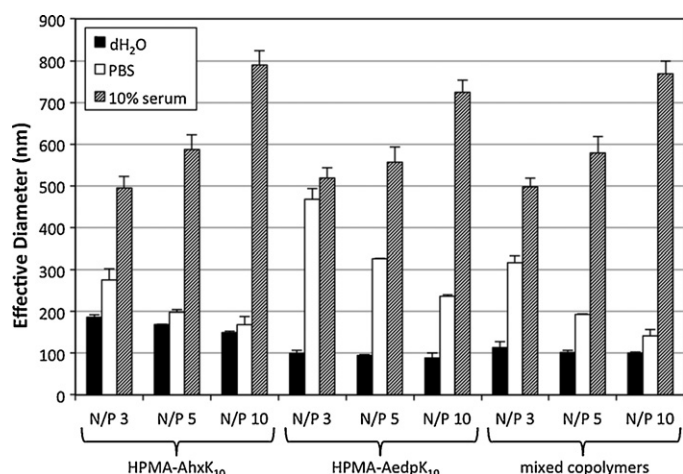


Fig. 2. Particle sizing of polyplexes by dynamic light scattering (DLS). The effective diameter of polyplexes formulated with HPMA-AhxK₁₀, HPMA-AedpK₁₀, or a 1:1 (w/w) mixture was determined by DLS in water, PBS with an ionic strength of 150 mM, and DMEM medium supplemented with 10% FBS. Data are presented as mean \pm S.D., $n = 3$.

polyplexes at N/P ratios of 3, 5, and 10 in water, 150 mM PBS, and complete cell medium (DMEM+10% FBS). Polyplexes of HPMA-AhxK₁₀ formed small particles in water (150–186 nm), and particle size at N/P of 5 and 10 remained stable against salt-induced aggregation in 150 mM PBS (Fig. 2). Polyplexes of HPMA-AedpK₁₀ formed smaller particles in water (90–100 nm) compared to HPMA-AhxK₁₀, but were not salt stable even in the presence of 1 mM EDTA (data not shown). Polyplexes of a 1:1 (w/w) mixture of the non-reducible and reducible polymer formed small particles in water, similar to that of HPMA-AedpK₁₀, and were relatively salt stable like HPMA-AhxK₁₀. All polyplex formulations increased in size to an average hydrodynamic diameter > 500 nm in 10% serum. These data suggest that although the reducible polymer does not form salt stable polyplexes, a mixture with non-reducible polymer increases polyplex salt stability. However, in the presence

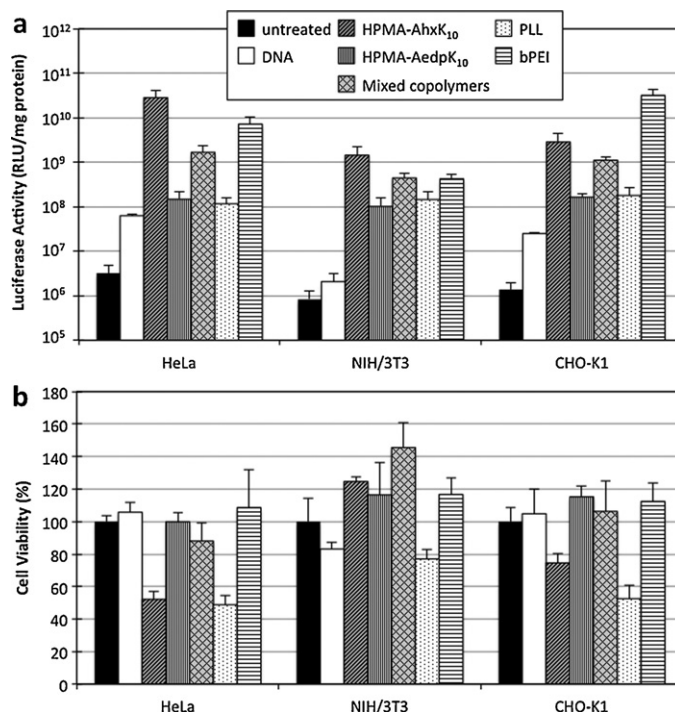


Fig. 4. (a) Transfection efficiency of HPMA copolymers in HeLa, NIH/3T3, and CHO-K1. Polyplexes (N/P 5) of copolymer and luciferase-encoding plasmid DNA were incubated with cells for 4 h in serum-free conditions. Luciferase activity was measured 48 h after transfection and normalized to total protein content in each sample. Cells: untreated controls; bPEI: branched polyethylenimine (25 kDa); PLL: poly-L-lysine (12–24 kDa); mixed copolymers: 1:1 (v/v) mixture of HPMA-AhxK₁₀ and HPMA-AedpK₁₀. (b) Polyplex cytotoxicity in HeLa, NIH/3T3, and CHO-K1. Toxicity of the polyplexes was determined by measuring total protein content and designating untreated cells as 100% viable. Data are presented as mean \pm S.D., $n = 4$, * $p < 0.05$, as determined by two-tailed Student's t -test.

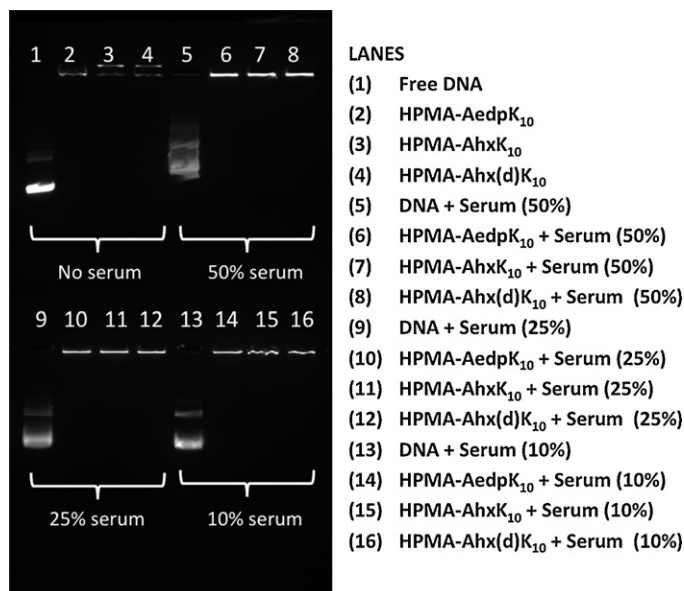


Fig. 3. Polyplex stability in serum via gel retardation assay. Polyplexes complexed with YOYO-1-labeled plasmid DNA and either HPMA-AedpK₁₀, HPMA-AhxK₁₀, or HPMA-Ahx(d)K₁₀ were incubated with various concentrations of serum (50%, 25%, 10%). Free DNA (plasmid DNA not complexed with polymer) was used as a control.

of 10% serum particles do not maintain their small size regardless of polymer composition.

The stability of HPMA-AhxK₁₀ and HPMA-AedpK₁₀ polyplexes in the presence of serum was also assessed by an agarose gel retardation assay. Because serum shows an intrinsic band similar to free DNA in an agarose gel containing ethidium bromide (data not shown), a YOYO-1 pre-labeled DNA was used instead. Free DNA incubated with various concentrations of serum (lanes 1, 5, 9 and 13) show streaking as a result of DNA degradation (Fig. 3). HPMA-AedpK₁₀, HPMA-AhxK₁₀ and a known protease-resistant copolymer, HPMA-Ahx(d)K₁₀, in which all lysines are D-lysines, were able to fully complex plasmid DNA in the presence of various serum concentrations as shown by the absence of bands corresponding to free DNA.

3.4. Delivery of plasmid DNA to cultured cells

Polyplex transfection efficiency was determined by delivery of a luciferase plasmid, pCMV-Luc2, to cells in serum-free and serum-containing (10% FBS) conditions. For comparison against commonly used polymeric reagents, branched PEI (bPEI, 25 kDa) and poly-L-lysine (PLL, 12–24 kDa) polyplexes were also evaluated. Transfection was also conducted with HPMA-AhxK₁₀ synthesized by free radical polymerization (Supplemental Fig. 1). Polyplexes were formed at N/P (nitrogen to phosphate) ratios of 3, 5, and 10, and evaluated for transfection efficiency under serum-free conditions in NIH/3T3, CHO-K1, and HeLa cells (Supplemental Fig. 2). N/P 5 was determined to be optimal for high transfection efficiency and low cytotoxicity; therefore, the rest of the studies were completed with polyplexes formulated at N/P 5. HPMA-AhxK₁₀

transfected more efficiently than poly-L-lysine (PLL, 12–24 kDa) in all cell lines (Fig. 4a). The luciferase activity of cells transfected with HPMA–AedpK₁₀ was decreased by at least one order of magnitude compared to its non-reducible analog in all cell types and showed very similar activity to PLL. When the two polymers were mixed prior to polyplex formation and applied to cells, the resulting transfection efficiencies were intermediate between those of the individual polymers in all tested cell types. These results suggest that while HPMA–AedpK₁₀ is poor at transfection, a 1:1 (w/w) mixture of HPMA–AhxK₁₀ and HPMA–AedpK₁₀ can improve transfection efficiency. The HPMA copolymers were also evaluated for transfection efficiency in the presence of 10% serum (Supplemental Fig. 3). Transfection efficiency of all polymer formulations was decreased under serum conditions. In addition, transfection of HPMA–AhxK₁₀ synthesized via RAFT had very similar transfection efficiency to HPMA–AhxK₁₀ synthesized by free radical polymerization.

3.5. Polymer toxicity

Cytotoxicity of polyplexes and polymers was determined by the BCA and MTS assay, respectively. The BCA assay was conducted 48 h after transfection to determine the amount of total cellular protein in lysates of transfected cells. Untreated cells were used to determine 100% cell viability. Again, cytotoxicity of HPMA–AhxK₁₀ synthesized by free radical polymerization was also determined as a comparison. Polyplexes of HPMA–AhxK₁₀ and HPMA–AedpK₁₀, formulated at N/P 5, were nontoxic to NIH/3T3 cells. HPMA–AhxK₁₀ decreased cell viability to 74.6% in CHO-K1 cells and 52.1% in HeLa cells (Fig. 4b). A mixture of HPMA–AhxK₁₀ and HPMA–AedpK₁₀ reduced the toxicity of HPMA–AhxK₁₀ alone. In comparison, PLL was very toxic to all cell types, decreasing cell viability to 43.8% in NIH/3T3 cells, 29.6% in CHO-K1 cells, and 48.8% in HeLa cells. PEI was relatively non-toxic for all cell types.

To determine the IC₅₀ values of the polymers (concentration of polymers for 50% cell survival), cells were treated with a range of polymer concentrations in serum-free conditions to simulate transfection conditions. The MTS assay was used to assess the mitochondrial activity, an indicator of cell viability. Untreated cells were used to determine 100% cell viability. The IC₅₀ values of both HPMA–AhxK₁₀ and HPMA–AedpK₁₀ copolymers were higher than both bPEI (IC₅₀ = 1.45–1.85 µg amine/mL) and PLL (~0.7 µg amine/mL) for all cell types tested (NIH/3T3, CHO-K1, HeLa) (Table 1). HPMA–AedpK₁₀ (13.0–20.2 µg lysine/mL) was slightly less toxic than HPMA–AhxK₁₀ (8.2–12.2 µg lysine/mL) for all cell types, and a mixture of the two copolymers in a 1:1 (w/w) ratio resulted in decreased toxicity in NIH/3T3 (12.2 µg lysine/mL) and CHO-K1 (10.5 µg lysine/mL) cells, but not in HeLa cells (12.3 µg lysine/mL). HPMA–AhxK₁₀ synthesized by free radical polymerization was more toxic than HPMA–AhxK₁₀ synthesized by RAFT polymerization in all cell types.

3.6. Cellular uptake and transfection of polyplexes incubated with DTNB

Redox proteins such as thioredoxin reductase and protein disulfide isomerase (PDI) can reduce thiols at the cell surface (Mandel et al., 1993; Rubartelli et al., 1992); additionally, some cell types such as HeLa cells have been found to secrete thiols into the extracellular space (Sun and Davis, 2010). To determine if the decrease in transfection efficiency in HeLa cells was due to the presence of extracellular thiols that may prematurely destabilize polyplexes, cells were pretreated with 5 mM 5,5'-dithiobis-(2-nitrobenzoic acid) (DTNB), a cell-impermeable chemical that reacts with free thiols. Polyplexes of plasmid DNA labeled with TOTO-3 were then incubated with HeLa cells for 30 min with or without DTNB and

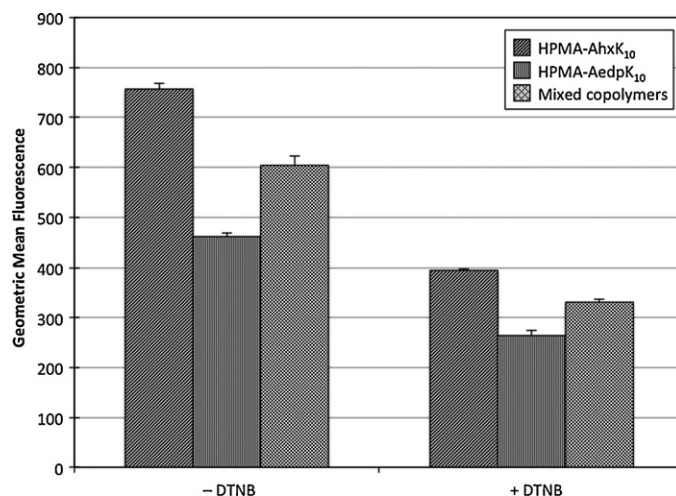


Fig. 5. Uptake of polyplexes in HeLa cells. Luciferase plasmid DNA was labeled with TOTO-3 prior to complexation with HPMA copolymers (HPMA–AhxK₁₀, HPMA–AedpK₁₀, or a 1:1 (w/w) mixture). HeLa cells pretreated with or without 5 mM DTNB in serum-free media for 1 h prior to transfection and then incubated with polyplexes for 30 min in serum-free media with or without 5 mM DTNB. Polyplex uptake was assessed by flow cytometry. DTNB stands for 5,5'-dithiobis-(2-nitrobenzoic acid). Data are presented as mean \pm S.D., $n = 3$.

cellular uptake was assessed by flow cytometry. Because the quantum yield of TOTO-3 in polyplexes is sensitive to the packaging state of the plasmid, the fluorescence of TOTO-3-labeled plasmid complexed with HPMA–AhxK₁₀ and HPMA–AedpK₁₀ was measured and confirmed to be similar in both formulations (Supplemental Fig. 4). Plasmid uptake was significantly lower from transfection with HPMA–AedpK₁₀ polyplexes and mixed polyplexes compared to HPMA–AhxK₁₀ polyplexes (Fig. 5) although nearly all cells (97–99%) were positive for fluorescence (data not shown). When cells were treated with polyplexes in the presence of 5 mM DTNB, uptake of HPMA–AedpK₁₀ polyplexes was still significantly less than uptake of its non-reducible analog; however, the decreased uptake was less drastic. Polyplexes of a 1:1 (w/w) mixture of the two polymers were taken up by cells more efficiently than reducible polymer alone. To confirm these results, transfection efficiency PLL, PEI, HPMA–AhxK₁₀ and HPMA–AedpK₁₀ to NIH/3T3, CHO-K1 and HeLa cells was also evaluated in the presence of DTNB. With DTNB treatment, transfection with the reducible material remained less efficient than with the non-reducible material in all cell types tested (Supplemental Fig. 5), demonstrating that blocking extracellular thiols does not restore transfection efficiency of the reducible material.

3.7. Delivery of plasmid DNA to cultured cells with EDTA treatment

Since reduced HPMA–AedpK₁₀ was shown to crosslink in the absence of EDTA (Fig. 1b), we investigated the possibility that polymer oxidation by trace metals adversely affected transfection efficiency of these materials. To minimize metal-catalyzed redox/oxidation processes, cells were transfected with polyplexes in the presence of 1 mM EDTA. Again, HPMA–AedpK₁₀ showed diminished ability to transfect NIH/3T3 and HeLa cells (Fig. 6). However, the difference in transfection efficiency between HPMA–AhxK₁₀ and HPMA–AedpK₁₀ was much less when transfections were conducted with 1 mM EDTA. In the absence of EDTA, luciferase expression in HPMA–AedpK₁₀-transfected cells was 193-fold, 14-fold, and 17-fold lower than HPMA–AhxK₁₀-transfected cells in HeLa, NIH/3T3, and CHO-K1 cells, respectively. However, with only 1 mM EDTA, luciferase expression in

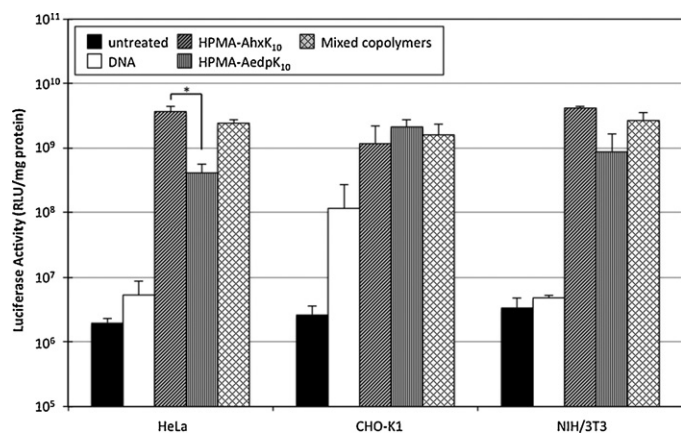


Fig. 6. Transfection efficiency of HPMA copolymers in HeLa, CHO-K1, and NIH/3T3 in the presence of 1 mM EDTA. Polyplexes (N/P 5) of copolymer and luciferase-encoding plasmid DNA were incubated with cells for 4 h with 1 mM EDTA in serum-free conditions. Luciferase activity was measured 48 h after transfection and normalized to total protein content in each sample. *Untreated*: untreated controls; *mixed copolymers*: 1:1 (w/w) mixture of HPMA–AhxK₁₀ and HPMA–AedpK₁₀. Data are presented as mean \pm S.D., $n = 3$, * $p < 0.05$, as determined by two-tailed Student's *t*-test.

HPMA–AedpK₁₀-transfected cells was 9-fold, 4.8-fold, and 0.6-fold lower than HPMA–AhxK₁₀-transfected cells in HeLa, NIH/3T3, and CHO-K1 cells, respectively. In addition, the mixed copolymer formulation restored transfection efficiency to the efficiency levels of HPMA–AhxK₁₀.

4. Discussion

The incorporation of reducible moieties into cationic polymers for nucleic acid delivery has been shown as a viable method for introducing environmentally responsive degradability. In particular, use of disulfide bonds is attractive because of their relative stability in the extracellular environment; however, disulfide bonds can be destabilized in the presence of high concentrations of reductive intracellular agents, e.g. glutathione, which is 50–1000 times (in human liver, up to 10 mM) more concentrated in the cytosol than in the extracellular space (Meister and Anderson, 1983). We hypothesized that incorporating a disulfide linkage between the pendant oligolysine peptides and the HPMA backbone would facilitate the release of DNA from polyplexes while also limiting toxicity of the cationic polymer. We have previously demonstrated that monomers of HPMA and oligolysine bearing an AEDP linker can be copolymerized via free radical polymerization, and that these copolymers were less toxic than their non-reducible counterpart (Burke and Pun, 2010). Here, reducible and non-reducible analogs of HPMA–oligolysine copolymers were synthesized by RAFT polymerization. The resulting two polymers had similar molecular weights and peptide compositions. Surprisingly, aside from reduced cytotoxicity, the reducible HPMA–AedpK₁₀ polymer showed less attractive plasmid delivery properties compared to the non-reducible HPMA–AhxK₁₀ polymer. Specifically, polyplexes formed from HPMA–AedpK₁₀ were less salt stable, facilitated less plasmid uptake, and provided lower transfection efficiencies to three cultured cell lines.

First, HPMA–AhxK₁₀ polymers synthesized by both free radical and RAFT polymerization were directly compared. RAFT polymerized polymers had controlled composition and molecular weight (19.6% K₁₀ monomer incorporation, 77.6 kDa) and were more homogenous, with polydispersities of 1.1–1.2 (Table 1). In contrast, HPMA–AhxK₁₀ synthesized via free radical polymerization had low incorporation of K₁₀ monomer (12%), high molecular weight (168.4 kDa), and high polydispersity (2.2). Previous reports

of polymers synthesized by free radical polymerization show similarly polydisperse materials (Burke and Pun, 2010; Layman et al., 2009). The transfection efficiency of these polymers were evaluated at N/P 3, 5, and 10 in HeLa cells using the target mass to charge ratios to calculate N/P ratios (Supplemental Fig. 1). Both polymers had similar transfection efficiencies; however, the IC₅₀ values for the free radical polymer were much lower than those for the RAFT polymer. We have previously shown that cytotoxicity of HPMA–co-oligolysine polymers increases with increasing molecular weight (Johnson et al., in press). The high molecular weight fraction of the polydisperse HPMA–AhxK₁₀ synthesized via free radical polymerization is likely responsible for the observed toxicity. Therefore, HPMA–AhxK₁₀ synthesized by RAFT polymerization results in more controlled and well-defined polymers with reduced cytotoxicity.

The ability for polyplexes to remain stable in physiological salt conditions is an important attribute for systemic delivery. We showed both previously and in this manuscript that polyplexes formulated with HPMA–AhxK₁₀ are salt stable (Fig. 2). Salt-induced increases in the particle size of polyplexes results from a disruption of the electrical double layer caused by the addition of counterions. Several studies have demonstrated that incorporation of a hydrophilic but uncharged polymer, such as PEG, prevents flocculation through steric repulsion even after the electrical double layer has been disrupted by salts (Oupicky et al., 2002). In this context, HPMA monomers were included as the backbone of both HPMA–AedpK₁₀ and HPMA–AhxK₁₀ copolymers to stabilize polyplexes in physiological conditions. However, polyplexes formed in the presence of 10% serum increased in size to >500 nm regardless of polymer type and composition. Despite this increase in size, polyplexes in the presence of serum remain intact. Gel electrophoresis of polyplexes confirmed that polyplexes were retained in the well, unlike free DNA that migrated and was degraded in the presence of serum (Fig. 3). However, the fluorescence intensity of polyplexes in the well increased with increasing serum content. Since the YOYO-1 intercalating dye is quenched by inter-molecular electronic interactions when plasmid DNA is condensed, this indicates that the polyplexes are loosened in the presence of serum. Interestingly, increasing N/P ratios resulted in the largest effective diameters, possibly due to interaction between excess polymer and serum proteins (Fig. 2). Reduced transfection efficiency of these polymers in serum (Supplemental Fig. 3a–c) may be due to the inability of the excess polymer to mediate transfection, which has been shown to be important for efficient transfection (Thibault et al., 2011; Boeckle et al., 2004). Polyplex toxicity was also reduced under serum conditions (Supplemental Fig. 3d–f), which further suggests that free polymer may be neutralized by serum proteins.

Multiple groups have reported that the incorporation of disulfide linkages does not affect polyplex stability in salt (Ouyang et al., 2009). However, unlike HPMA–AhxK₁₀ polyplexes, polyplexes formed with HPMA–AedpK₁₀ were not salt stable. Particle size of the polyplexes increased from relatively small particles that were below 100 nm in water to particles with much larger effective diameters (Fig. 2). Differences in stability between HPMA–AedpK₁₀ and HPMA–AhxK₁₀ polyplexes may be attributed to the increased concentration of disulfide bonds (Miyata et al., 2004). The more hydrophobic HPMA–AedpK₁₀ polymers may render the resulting polyplex more prone to flocculation from van der Waals attraction forces. Salt-induced flocculation of polyplexes formulated with HPMA–AedpK₁₀ copolymers may also indicate premature degradation of disulfide bonds in the AEDP linkers leading to intermolecular crosslinking or even loss of HPMA from the polyplex surface. Degradation of disulfide bonds can spontaneously occur through direct attack of the disulfide bond by hydroxyl anions or by α - and β -elimination reactions at neutral and basic conditions (Trivedi et al., 2009). Also, it has been shown that spontaneous reduction of a single disulfide bond in proteins with several disulfide bonds can

trigger other intermolecular reducing reactions, disrupting protein stability (Kelly and Zydney, 1994; Tous et al., 2005). In a similar manner, degradation of a disulfide bond in a polyplex formulated with HPMA–AedpK₁₀ copolymers could potentially lead to a chain-reduction of proximal disulfide bonds, which would have high local concentrations within a polyplex. Pichon and coworkers showed by TEM using their disulfide bond-containing poly[Lys-AEDTP] materials that particle fusion, hypothesized to result from intermolecular crosslinking, occurs quickly after polymer reduction followed by polyplex decomplexation. However, it should be noted that with our materials, particle flocculation in salt was not mitigated by addition of EDTA to prevent metal-catalyzed oxidation.

Polyplexes of the reducible polymer HPMA–AedpK₁₀ did not transfect cells as well as its non-reducible analog, HPMA–AhxK₁₀, but transfected similarly to PLL (Fig. 4a). However, the reducible polymer was relatively non-toxic compared to the non-reducible polymer in HeLa and CHO-K1 cells, and PLL in all cell types tested (Fig. 4b). These results show that the toxicity profile of the HPMA–oligolysine is improved by incorporating a disulfide linkage. At higher N/P ratios transfection efficiency with HPMA–AedpK₁₀ materials can approach that of HPMA–AhxK₁₀ (Supplemental Fig. 2). Increases of transfection at elevated charge ratios have been attributed to increased concentrations of free cationic polymer by promoting release of polyplexes from the endosomes and lysosomes (Thibault et al., 2011).

Previous reports have identified that extracellular reduction can affect the uptake of disulfide-containing cationic peptides (Aubry et al., 2009) or polymers (Sun and Davis, 2010). To investigate if decreased transfection efficiency of HPMA–AedpK₁₀ was due to premature extracellular reduction, cellular uptake of HPMA–AhxK₁₀ and HPMA–AedpK₁₀ polyplexes in HeLa cells in the presence of the cell-impermeable reagent DTNB was assessed by flow cytometry. DTNB has been used previously to block reductive effects of free extracellular thiols (Bauhuber et al., 2009). Cellular uptake of polyplexes formulated with HPMA–AhxK₁₀, HPMA–AedpK₁₀, or a 1:1 (w/w) mixture of both copolymers reflected similar trends to those observed in transfection studies (Fig. 5). DTNB treatment reduced uptake of all polyplexes, but showed similar trends as the uptake study completed without DTNB. Similarly, transfection with HPMA–AedpK₁₀ polyplexes did not improve with DTNB (Supplemental Fig. 5). These results suggest that extracellular reduction of HPMA–AedpK₁₀ by free thiols is not the major cause of decreased cellular uptake of the reducible polyplexes, and decreased cellular uptake may be caused by an alternative mechanism.

In instances where HPMA–AedpK₁₀ was dissolved as a stock solution and then stored at 4°C for longer than 2 weeks, a higher molecular weight fraction was observed by GPC analysis (data not shown). A similar observation was also made when degradation studies using 25 mM TCEP to reduce HPMA–AedpK₁₀ copolymer was done without EDTA. These observations indicate that metal-catalyzed oxidation of free sulfhydryls influences the material properties through nonspecific crosslinking of HPMA–AedpK₁₀ copolymers. To control the effects of metal-catalyzed oxidation of free sulfhydryl groups, transfections were done with fresh stock solutions of HPMA–AedpK₁₀ and transfections were also done in the presence of EDTA. Treatment of cells with EDTA increased transfections in some instances (Fig. 6). One possible explanation is that the oxidation of degraded HPMA–AedpK₁₀ copolymers could effectively cage proximal DNA, preventing its release from the polyplex and thereby limit the transfection efficiency of the materials. A study by Christensen et al. (2006) also demonstrated that diminished transfection efficiency of poly(amido ethyleneamine) that correlated with increased branching and disulfide content. Furthermore, Miyata et al. (2004) also demonstrated that diminished

transfection efficiency was observed at elevated disulfide crosslinkers in reducible PLL–PEI materials. It may also be possible to achieve higher transfection efficiencies at higher N/P ratios. Wang et al. (2011) show that reducible linear cationic polymers prepared by click chemistry transfected better than their non-reducible counterparts, but at N/P ratios of 15 and higher. Similar trends were observed for other polymer systems (Ou et al., 2008; Son et al., 2010). For most practical applications in nucleic acid delivery, use of reducible materials requires greater stability than presented by HPMA–AedpK₁₀. Improvements in polyplex stability were observed when mixtures of reducing and non-reducing HPMA–oligolysine copolymers were used. The polyplexes demonstrated improved salt stability, increased transfection efficiency, and were non-toxic at charge ratios tested. This formulation may prevent premature reduction of disulfide bonds and limit unwanted oxidation from occurring by spatial separation and/or steric hindrance. Alternatively, disulfide linkages have been stabilized by adding methyl groups (Kellogg et al., 2011) and/or benzene rings (Sun and Davis, 2010) around the disulfide bond in order to sterically hinder premature reduction before reaching the cytosol.

In summary, we describe the controlled synthesis of a reducible HPMA–oligolysine copolymer and evaluate the transfection efficiency of this polymer and its non-reducible counterpart in multiple cell lines. The reducible polymer was tolerated better than the non-reducible polymer but also showed lower transfection efficiency in cultured cells. Elimination of extracellular thiols did not restore transfection efficiency, indicating that premature extracellular reduction is not the primary cause of decreased transfection. However, stability of the reducible polymer was improved with the addition of EDTA. In addition, a mixed formulation of reducible and non-reducible copolymers was able to partially restore transfection efficiency over that of the reducible polymer alone and maintain low toxicity. Chemical modifications to stabilize the disulfide bond may be explored to apply this polymer system *in vivo*.

Acknowledgments

This work is supported by NIH 1R01NS064404, NSF DMR 0706647 and the Center for Intracellular Delivery of Biologics through the Washington State Life Sciences Discovery Fund Grant No. 2496490. Julie Shi is supported by the National Science Foundation Graduate Research Fellowship under Grant No. DGE-0718124. We gratefully thank Profs. Anthony Convertine and Patrick Stayton for generously providing the ECT chain transfer agent and Dr. Ester Kwon for preparing the pCMV–Luc2 plasmid.

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.ijpharm.2011.08.015.

References

- Aubry, S., Burlina, F., Dupont, E., Delaroche, D., Joliet, A., Lavielle, S., Chassaing, G., Sagan, S., 2009. Cell-surface thiols affect cell entry of disulfide-conjugated peptides. *FASEB J.* 23, 2956–2967.
- Bauhuber, S., Hozsa, C., Breunig, M., Gopferich, A., 2009. Delivery of nucleic acids via disulfide-based carrier systems. *Adv. Mater.* 21, 3286–3306.
- Boeckle, S., on Gersdorff, C., van der Piepen, S., Culmsee, C., Wagner, E., Ogris, M., 2004. Purification of polyethylenimine polyplexes highlights the role of free polycations in gene transfer. *J. Gene Med.* 6, 1102–1111.
- Breunig, M., Lungwitz, U., Liebl, R., Gopferich, A., 2007. Breaking up the correlation between efficacy and toxicity for nonviral gene delivery. In: *Proceedings of the National Academy of Sciences of the United States of America*, vol. 104, pp. 14454–14459.
- Burke, R.S., Pun, S.H., 2010. Synthesis and characterization of biodegradable HPMA–oligolysine copolymers for improved gene delivery. *Bioconjug. Chem.* 21, 140–150.

- Chen, J., Wu, C., Oupicky, D., 2009. Bioreducible hyperbranched poly(amido amine)s for gene delivery. *Biomacromolecules* 10, 2921–2927.
- Choi, S., Lee, K.D., 2008. Enhanced gene delivery using disulfide-crosslinked low molecular weight polyethylenimine with listeriolysin o-polyethylenimine disulfide conjugate. *J. Control. Release* 131, 70–76.
- Christensen, L.V., Chang, C.W., Kim, W.J., Kim, S.W., Zhong, Z., Lin, C., Engbersen, J.F., Feijen, J., 2006. Reducible poly(amido ethylenimine)s designed for triggers intracellular gene delivery. *Bioconjug. Chem.* 17, 1233–1240.
- Convertine, A.J., Benoit, D.S.W., Duvall, C.L., Hoffman, A.S., Stayton, P.S., 2009. Development of a novel endosomalolytic diblock copolymer for siRNA delivery. *J. Control. Release* 133, 221–229.
- Dai, F.Y., Sun, P., Liu, Y.J., Liu, W.G., 2010. Redox-cleavable star cationic PDMAEMA by arm-first approach of ATRP as a nonviral vector for gene delivery. *Biomaterials* 31, 559–569.
- de Wolf, H.K., de Raad, M., Snel, C., van Steenberghe, M.J., Fens, M., Storm, G., Hennink, W.E., 2007. Biodegradable poly(2-dimethylamino ethylamino)phosphazene for *in vivo* gene delivery to tumor cells, Effect of polymer molecular weight. *Pharm. Res.* 24, 1572–1580.
- Deng, R., Yue, Y., Jin, F., Chen, Y.C., Kung, H.F., Lin, M.C.M., Wu, C., 2009. Revisit the complexation of PEI and DNA – how to make low cytotoxic and highly efficient PEI gene transfection non-viral vectors with a controllable chain length and structure? *J. Control. Release* 140, 40–46.
- Fischer, D., Li, Y.X., Ahlemeyer, B., Krieglstein, J., Kissel, T., 2003. In vitro cytotoxicity testing of polycations: influence of polymer structure on cell viability and hemolysis. *Biomaterials* 24, 1121–1131.
- Ganta, S., Devalapally, H., Shahiwala, A., Amiji, M., 2008. A review of stimuli-responsive nanocarriers for drug and gene delivery. *J. Control. Release* 126, 187–204.
- Gosselin, M.A., Guo, W.J., Lee, R.J., 2001. Efficient gene transfer using reversibly cross-linked low molecular weight polyethylenimine. *Bioconjug. Chem.* 12, 989–994.
- Hwang, S., Davis, M., 2001. Cationic polymers for gene delivery: designs for overcoming barriers to systemic administration. *Curr. Opin. Mol. Ther.* 3, 183–191.
- Johnson, R.N., Burke, R.S., Convertine, A.J., Hoffman, A.S., Stayton, P.S., Pun, S.H., 2010. Synthesis of statistical copolymers containing multiple functional peptides for nucleic acid delivery. *Biomacromolecules* 11, 3007–3013.
- Johnson, R.N., Chu, D.S., Shi, J., Schellinger, J.G., Carlson, P.M., Pun, S.H., HPMA-oligolysine copolymers for gene delivery: optimization of oligolysine length and polymer molecular weight. *J. Control. Release*, doi:10.1016/j.jconrel.2011.07.009, in press.
- Kellogg, B.A., Garrett, L., Kovtun, Y., Lai, K.C., Leece, B., Miller, M., Payne, G., Steeves, R., Whiteman, K.R., Widdison, W., Xie, H., Singh, R., Chari, R.V.J., Lambert, J.M., Lutz, R.J., 2011. Disulfide-linked antibody-maytansinoid conjugates: optimization of *in vivo* activity by varying the steric hindrance at carbon atoms adjacent to the disulfide bridge. *Bioconjug. Chem.* 22, 717–727.
- Kelly, S.T., Zydner, A.L., 1994. Effects of intermolecular thiol-disulfide interchange reactions on bsa fouling during microfiltration. *Biotechnol. Bioeng.* 44, 972–982.
- Kloeckner, J., Wagner, E., Ogris, M., 2006. Degradable gene carriers based on oligomerized polyamines. *Eur. J. Pharm. Sci.* 29, 414–425.
- Layman, J.M., Ramirez, S.M., Green, M.D., Long, T.E., 2009. Influence of polycation molecular weight on poly(2-dimethylaminoethylmethacrylate)-mediated DNA delivery *in vitro*. *Biomacromol.* 10, 1244–1252.
- Lin, C., Zhong, Z.Y., Lok, M.C., Jiang, X.L., Hennink, W.E., Feijen, J., Engbersen, J.F.J., 2006. Linear poly(amido amine)s with secondary and tertiary amino groups and variable amounts of disulfide linkages: synthesis and *in vitro* gene transfer properties. *J. Control. Release* 116, 130–137.
- Liu, J., Jiang, X.L., Xu, L., Wang, X.M., Hennink, W.E., Zhuo, R.X., 2010. Novel reduction-responsive cross-linked polyethylenimine derivatives by click chemistry for nonviral gene delivery. *Bioconjug. Chem.* 21, 1827–1835.
- Mandel, R., Ryser, H.J., Ghani, F., Wu, M.D.P., 1993. Inhibition of a reductive function of the plasma membrane by bacitracin and antibodies against protein disulfide-isomerase. *Proc. Natl. Acad. Sci. U.S.A.* 90, 904112–904116.
- McKenzie, D.L., Kwok, K.Y., Rice, K.G., 2000a. A potent new class of reductively activated peptide gene delivery agents. *J. Biol. Chem.* 275, 9970–9977.
- McKenzie, D.L., Smiley, E., Kwok, K.Y., Rice, K.G., 2000b. Low molecular weight disulfide cross-linking peptides as nonviral gene delivery carriers. *Bioconjug. Chem.* 11, 901–909.
- Meister, A., Anderson, M.E., 1983. Glutathione. *Annu. Rev. Biochem.* 57, 711–760.
- Miyata, K., Kakizawa, Y., Nishiyama, N., Harada, A., Yamasaki, Y., Koyama, H., Kataoka, K., 2004. Block cationic polyplexes with regulated densities of charge and disulfide cross-linking directed to enhance gene expression. *J. Am. Chem. Soc.* 126, 2355–2361.
- Neu, M., Sitterberg, J., Bakowsky, U., Kissel, T., 2006. Stabilized nanocarriers for plasmids based upon cross-linked poly(ethylene imine). *Biomacromolecules* 7, 3428–3438.
- Ou, M., Wang, X.L., Xu, R.Z., Chang, C.W., Bull, D.A., Kim, S.W., 2008. Novel biodegradable poly(disulfide amine)s for gene delivery with high efficiency and low cytotoxicity. *Bioconjug. Chem.* 19, 626–633.
- Oupicky, D., Ogris, M., Howard, K.A., Dash, P.R., Ulbrich, K., Seymour, L.W., 2002. Importance of lateral and steric stabilization of polyelectrolyte gene delivery vectors for extended systemic circulation. *Mol. Ther.* 5, 463–472.
- Ouyang, D., Shah, N., Zhang, H., Smith, S.C., Parekh, H.S., 2009. Reducible disulfide-based non-viral gene delivery systems. *Mini Rev. Med. Chem.* 9, 1242–1250.
- Peng, Q., Zhong, Z.L., Zhuo, R.X., 2008. Disulfide cross-linked polyethylenimines (PEI) prepared via thiolation of low molecular weight PEI as highly efficient gene vectors. *Bioconjug. Chem.* 19, 499–506.
- Rubartelli, A., Bajetto, A., Allavena, G., Wollman, E., Sitia, R., 1992. Secretion of thioredoxin by normal and neoplastic cells through a leaderless secretory pathway. *J. Chem. Biol.* 267, 24161–24164.
- Schaffer, D., Fidelman, N., Dan, N., Lauffenburger, D., 2000. Vector unpacking as a potential barrier for receptor-mediated polyplex gene delivery. *Biotechnol. Bioeng.* 67, 598–606.
- Son, S., Singa, K., Kim, W.J., 2010. Bioreducible bPEI-SS-PEG-cNGR polymer as a tumor targeted nonviral gene carrier. *Biomaterials* 31, 6344–6354.
- Sun, W.C., Davis, P.B., 2010. Reducible DNA nanoparticles enhance *in vitro* gene transfer via an extracellular mechanism. *J. Control. Release* 146, 118–127.
- Tang, R.P., Palumbo, R.N., Nagarajan, L., Krogstad, E., Wang, C., 2010. Well-defined block copolymers for gene delivery to dendritic cells: Probing the effect of polycation chain-length. *J. Control. Release* 142, 229–237.
- Thibault, M., Astolfi, M., Tran-Khanh, N., Lavertu, M., Darras, V., Merzouki, A., Buschmann, M.D., 2011. Excess polycation mediates efficient chitosan-based gene transfer by promoting lysosomal release of the polyplexes. *Biomaterials* 32, 4639–4646.
- Tous, G.I., Wei, Z., Feng, J., Bilbulian, S., Bowen, S., Smith, J., Strouse, R., McGeehan, P., Casas-Finet, J., Schenerman, M.A., 2005. Characterization of a novel modification to monoclonal antibodies: thioether cross-link of heavy and light chains. *Anal. Chem.* 77, 2675–2682.
- Trivedi, M.V., Laurence, J.S., Siahaan, T.J., 2009. The role of thiols and disulfides on protein stability. *Curr. Protein Pept. Sci.* 10, 614–625.
- Trubetskoy, V., Loomis, A., Slattum, P., Hagstrom, J., Budker, V., Wolff, J., 1999. Caged DNA does not aggregate in high ionic strength solutions. *Bioconjug. Chem.* 10, 624–628.
- Wang, Y.X., Chen, P., Shen, J.C., 2006. The development and characterization of a glutathione-sensitive cross-linked polyethylenimine gene vector. *Biomaterials* 27, 5292–5298.
- Wang, Y., Zhang, R., Xu, N., Du, F.-S., Wang, Y.-L., Tan, Y.-X., Ji, S.-P., Liang, D.-H., Li, Z.-C., 2011. Reduction-degradable linear cationic polymers as gene carriers prepared by Cu(I)-catalyzed azide-alkyne cycloaddition. *Biomacromolecules* 12, 66–74.